

Join our NCJTC Email List!

Visit **ncjtc.org/signup** to receive communications about upcoming training opportunities.



Follow Us on Social Media



facebook.com/NCJTC



twitter.com/NCJTC



National Criminal Justice Training Center *of* Fox Valley Technical College



linkedin.com/companyncjtc

Copyright Permissions

This webinar may contain video clips or other copyrighted material, the use of which has not always been specifically authorized by the copyright owner. The presentations for these webinars are created for non-profit educational purposes and constitute "fair use" in accordance with Title 17 U.S.C. Section 107.

The webinar materials provided herein are proprietary in nature and contains sensitive information that is "FOR OFFICIAL USE ONLY". Dissemination, distribution or photocopying of these materials is strictly prohibited without the express written consent of the National Criminal Justice Training Center of Fox Valley Technical College and the funding agency.

No video, audio or photo memorialization is allowed during this webinar for any reason. Anyone engaging in the recording or memorialization of the presentation materials will be requested to delete/destroy photos or recordings and may be dismissed from the webinar.



No Representations or Warranty

This webinar is designed to provide you with information, tools, and skills that you can apply in your work, not all of which may be applicable in your particular jurisdiction. Laws vary from one jurisdiction to another and are constantly evolving, as is technology.

Any electronic materials provided herein are not intended to be all-inclusive or exhaustive. The use of specific investigative methodologies, hardware devices, software tools, website references, etc. demonstrated in the training is not an endorsement by the National Criminal Justice Training Center of Fox Valley Technical College, the funding agency nor a guarantee that their use is appropriate in all circumstances.

Always check with your supervisors and prosecutor's office and always follow your agency's p olicy and guidance. Software and other material may be subject to copyright protection and may require licensing or permission before use.



Zoom Webinar Technical Overview



Enter your questions into the Q&A box.



Watch the Chat for messages from the webinar organizers.



Click to view subtitles or a real-time transcript of the webinar.



Post-Webinar Information

- A recording of this webinar will be available in the coming weeks at <u>www.NCJTC.org</u>.
- Please complete the brief evaluation at the conclusion of this webinar.
- A certificate of attendance will be sent to attendees within 2 weeks.





Developing Policies & Procedures to Support Tribal Probation (Part 1)

August 30, 2023 | Presented by:

Michelle Rivard Parks, Associated Director, University of North Dakota School of Law Tribal Judicial Institute

Lea Geurts, Project Coordinator, National Criminal Justice Training Center of Fox Valley Technical College

Kevin Brennenstuhl, Project Coordinator, National Criminal Justice Training Center of Fox Valley Technical College





Grant Funding Acknowledgement

This webinar was provided under award #2018-S4-BX-K002, provided by the Bureau of Justice Assistance (BJA), Office of Justice Programs, U.S. Department of Justice, and supported by the Tribal Probation and Re-entry Academy program.

The opinions expressed by presenters in their oral or written material are theirs alone, and do not necessarily represent those of the National Criminal Justice Training Center of Fox Valley Technical College or (add grantor name here if applicable).





Poll Questions

- Poll questions will be asked during the webinar.
- This is your time to weigh in with your thoughts.
- Please respond promptly; polls are open for a short period of time.





Which of the following best describes your role?

- Probation Officer / Reentry Specialist
- Judge or Attorney
- Court Administrator
- Tribal Leadership
- Other



Today's Presenters





,Associated Director, University of North Dakota School of Law Tribal Judicial Institute



Lea Geurts Project Coordinator, NCJTC



Kevin Brennenstuhl Project Coordinator, NCJTC



Learning Objectives

- Describe the difference between codes, ordinances, policies, procedures, and protocols.
- Discuss the importance of vision, mission, and purpose statements.
- Identify the key items to be included in policies and procedures.
- Analyze special considerations and potential challenges.





Key Terminology



Codes

- Formal and legally enforceable rules
- Regulate conduct
- Establish prohibited conduct
- Enforceable in a legal forum





Policies

- Formal statement of purpose and standards
- Express what or why of agency actions
- Enforceable by the agency





Procedures/Protocols

- Formal Steps to help effectuate code and policy
- Help to ensure consistent responses
- Provide guidance on how, when, and/or who will act
- Generally NOT legally enforceable (But may have legal implications)





Vision, Mission and Purpose





Does your tribe or organization have documented vision and/or mission statement(s)?

- Yes
- No
- I'm not sure





Vision

- Long-term view of where you want to be:
 - For your community
 - For your program
 - For the people that you serve
- Generally includes an expression of hope and optimism about the future





Mission

- Who we are?
- What we value?
- Who we serve?
- Why we serve them?
- How we plan to serve them?



Purpose

Ask yourself: *why is this policy needed?*

- Understand the underlying basis of the policy
- Understand the reason for the policy

Understanding can help agencies effectively implement the policy





Policy Alignment

Develop policies that align with:

- Existing and applicable laws
- Community values, standards and norms
- Existing resources and services
- Do not conflict with applicable federal law
- Are victim-centered







What do you believe is the most important role of policies?

- Provide direction for people and programs
- Support delivery of services
- Ensure compliance with laws and regulations
- All are equally important
- I am not sure; that's why I'm here



Importance of Policy



Why are Policies Important?

- Provides overall direction for program and program personnel
- Provides a roadmap for day-to-day operations
- Ensures compliance with applicable laws and regulations
- Supports fair and equitable delivery of services
- Provides guidance to personnel:
 - Understand their role and responsibilities
 - Make decisions
 - Work with other agencies





Key Provisions Might Include...

- Vision and Mission
- Roles and responsibilities of key personnel and stakeholders
- Procedure and protocols to be followed by personnel and stakeholders
 - Case review and assessment
 - Thorough and timely investigation process
 - Communicate with other agencies and individuals
 - Maintain proper records
- Confidentiality and information sharing
- Inter-agency collaboration





Why are Procedures and Protocols Important?

- Consistency in service
- Personnel orientation
- Inter-agency collaboration
- Victim-centered



Procedure / Protocol Considerations





How do you believe policies could help within your organization? (Check all that apply)

- Promote consistency in delivering services
- Clarify roles and responsibilities
- Support inter-agency collaboration
- I'm not sure policies would help my organization





Procedure/Protocol Goals

- Effectively monitor compliance
- Encourage positive behavior change in clients
- Clarify roles and responsibilities as part of a systemic response
- Improve collaboration by specifying how agencies will work together



Some Key Procedures/Protocols Might Include...

- Agency/department organization and administration
 - Training and qualification standards
- Technical Requirements
 - Authority
 - Processes for violations, warrants, etc.
- Supervision and Case Management
 - Evidence based practices
 - Support services/ referrals
- Records and documentation requirements
- Communication requirements and limitations



LEGAL





Contact Information & Final Q&A



NCJTC General Inquiries info@ncjtc.org www.ncjtc.org (855) 866-2582



Michelle Rivard Parks michelle.rivard@und.edu



Lea Geurts lea.geurts6443@fvtc.edu



Kevin Brennenstuhl u kevin.brennenstuhl7198@fvtc.edu



Upcoming TTA Opportunities

Visit <u>www.ncjtc.org</u> to view more upcoming training and technical assistance opportunities.

August 31, 2023 (tomorrow!) | 1:00 – 3:00 PM CT ✓ Developing Policies & Procedures to Support Tribal Probation (Part 2)



Post-Webinar Reminders

- A recording of this webinar will be available in the coming weeks at <u>www.NCJTC.org</u>.
- Please complete the brief evaluation at the conclusion of this webinar.
- A certificate of attendance will be sent to attendees within 2 weeks.





NCJTC Services & Opportunities

Visit **www.ncjtc.org** for additional training and technical assistance services, offerings and opportunities.

Watch your inbox for upcoming webinars and scheduled trainings!

